



Ref No.: NACL/11/JAN/2025-26

January 31, 2026

To, BSE Limited, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai- 400001 Scrip Code: 544260	To, National Stock Exchange of India Ltd., Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E) Mumbai – 400 051 Scrip Symbol: NORTHARC
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Sub: Intimation under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

With reference to above, we would like to inform that a penalty of Rs. 2.7 Lakhs has been imposed on the Company, by the Reserve Bank of India ("RBI") vide its Speaking order received by the Company via email on January 30, 2026. The details of the said penalty as required under sub-para 20 of Para A of Part A of Schedule III of SEBI Listing Regulations and Industry Standards Note on Regulation 30 of the SEBI Listing Regulations are enclosed as **Annexure A**.

We further state and declare that the information and details provided in Annexure A, in compliance with Regulation 30(13) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, is true, correct and complete to the best of our knowledge and belief.

For Northern Arc Capital Limited

Prakash Chandra Panda
Company Secretary & Compliance Officer

Encl: a/a

Northern Arc Capital Limited

Registered Office:

10th Floor, Phase-I, IIT-Madras Research Park, Kanagam Village, Taramani, Chennai – 600 113, India
+91 44 6668 7000 | contact@northernarc.com | www.northernarc.com
CIN.: L65910TN1989PLC017021



ANNEXURE A

Details as required under Para A Part A of Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Industry standards on SEBI Listing Regulations

S. No.	Particulars	Details
1.	Name of the Listed entity	Northern arc capital limited
2.	Name of the Authority from whom communication is received	Reserve Bank of India ("RBI")
3.	Type of Communication received	Speaking Order issued by RBI
4.	Period for which communication would be applicable, if stated	Penalty is in respect of the financial position of the Company as on March 31, 2024
5.	Nature and details of the action(s) taken or order passed	RBI, in exercise of the powers conferred under Section 58G(1)(b) read with Section 58B(5)(aa) of the Reserve Bank of India Act, 1934, imposed a penalty of Rs. 2.7 Lakhs vide the above-mentioned speaking order.
6.	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority/ Date of receipt of communication	January 30, 2026
7.	Details of the violation(s)/ contravention(s) committed or alleged to be committed/Details of any aberrations/non-compliances identified by the authority in the communication	Contravention of the directions contained in Direction 50 of Reserve Bank of India (Know Your Customer (KYC)) Directions, 2016 relating to absence of automated software for generation of AML alerts during the review period.
8.	Impact on financial, operation or other activities of the Company, quantifiable in monetary terms to the extent possible/ Details of any penalty or restriction or sanction imposed pursuant to the communication/ Expected financial implications on the listed company, if any	Rs. 2.7 Lakhs.
9.	Action(s) taken by listed company with respect to the communication	The Company has undertaken corrective action to address the issue and necessary processes in place at present.
10	Brief summary of the material contents of the communication received, including reasons for receipt of the communication	Please refer to S. No. 7 above
11	Any other relevant information	-

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