

# ISGEC HEAVY ENGINEERING LTD.

A-4, Sector-24,  
Noida - 201 301 (U.P.) India  
(GST No.: 09AAACT5540K2Z4)  
Tel.: +91-120-4085000 / 01 / 02  
Fax: +91-120-4085100  
www.isghec.com  
CS@isghec.co.in

Date: May 31, 2023

HO-425-S

To  
BSE Limited  
Registered Office: Floor 25,  
PJTowers, Dalal Street,  
Mumbai - 400 001

To  
National Stock Exchange of India Limited  
Exchange Plaza, C-1, Block G,  
Bandra Kurla Complex, Bandra (E)  
Mumbai - 400 051

Company Scrip Code: 533033

Company Symbol: ISGEC

Dear Sir(s)/Madam(s),

## **Furnishing of Information in terms of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Subject: Communication to Shareholders - Letter sent to holders of physical securities for furnishing of PAN, KYC details and Nomination details.

1. Pursuant to Regulation 30 and any other regulation(s), if applicable, of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we would like to inform that the Company has dispatched letters to holders of physical securities, for furnishing of PAN, KYC details and Nomination details, etc. on May 29, 2023, in accordance with the SEBI Circular No. SEBI/HO/MIRSD/MIRSD-PoD-1/P/C IR/2023/37 dated March 16, 2023. Copy of the letter is enclosed herewith.
2. Further, the prescribed forms as per the aforesaid SEBI Circulars are available on the website of the Company at [https://www.isghec.com/pdf\\_investorservice\\_request\\_pr](https://www.isghec.com/pdf_investorservice_request_pr)
3. This intimation is being uploaded on the website of the Company at [www.isghec.com](http://www.isghec.com) under "Download Forms/Formats" tab.
4. The above is for your kind reference, information and record please.

Thanking you,

Yours truly,  
For Isghec Heavy Engineering Limited

Sachin Saluja  
Company Secretary & Compliance Officer  
Membership No. A24269  
Address: A-4, Sector-24, Noida-201301,  
Uttar Pradesh

For Isghec Heavy Engineering Limited  
  
Sachin Saluja  
Company Secretary & Compliance Officer  
Membership No. A24269  
Address: A-4, Sector-24, Noida-201301,  
Uttar Pradesh  


End.: As above



**Folio No.:**

Name :

Address:

May 25, 2023



Subject : Request for furnishing PAN, KYC, nomination and Bank details in respect of your physical shareholding

Ref.: **SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/37 dated March 16, 2023**

Dear Shareholder(s),

This is further to Alankit Assignments Limited (RTA) letter, dated 3<sup>rd</sup> March 2022 sent earlier, informing that the Securities and Exchange Board of India (SEBI) has, by its Circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2021/655 dated 3<sup>rd</sup> November 2021, read with Circular No. SEBI/HO/MIRSD\_RTA/P/CIR/2021/687 dated 14<sup>th</sup> December 2021, Circular No. SEBI/HO/MIRSD\_POD-1/P/CIR/2023/37 dated 16<sup>th</sup> March 2023, mandated that shareholder holding shares in physical form should furnish copy of PAN, KYC details and Nomination to the Company/ Registrar and Share Transfer Agent (RTA).

In view of the above, please provide the following to the RTA/Company:

- a) For updation of PAN and KYC details, duly filled in **Form ISR-1**;
- b) Self-attested copy of your PAN card (including PAN Card of the joint holders, if any, duly self-attested by them);
- c) Postal address with PIN Code, Self-attested copy of your valid address proof;
- d) Mobile number and E-mail address;
- e) Bank account details i.e., bank name, branch, account number and IFS Code supported by self-attested bank statement or original cancelled cheque leaf;
- f) Specimen signature - Provide Banker's attestation of the signature in the attached **Form ISR - 2**; and
- g) Nomination for the aforesaid folio(s) in the attached **Form SH-13** or 'Declaration to Opt-out' in the attached Form ISR-3, in case you do not wish to nominate any person. For change of registered nomination in attached **Form SH-14\***.

\*in case of cancellation of nomination by shareholder through **Form SH-14**, declaration to Opt-out in **Form ISR-3** shall also be provided by the shareholder.

*The aforesaid forms can be downloaded from the website of the Company or RTA website*

**Note 1:** The Company/ RTA shall not process any service requests or complaints received from the holder(s)/ claimant(s), till PAN, KYC and Nomination documents/ details are complete in the folio. Also, please note that in case any one of the aforesaid documents/ details are not available in the folio on or after **October 1, 2023**, such folios shall be frozen by the RTA. Once your folio is frozen, any service request in such folio shall be processed by the RTA only after receipt of the complete documents/ details as aforesaid.

**Note 2:** If the folio remains frozen till December 31, 2025, it shall be referred to the Administering Authority under Benami Transactions (Prohibitions) Act, 1988 and/or Prevention of Money Laundering Act, 2002 for necessary action.

**Note 3:** Further, SEBI has also amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that transfer of securities held in physical form shall not be processed unless the same are held in the dematerialized form with a depository. In view of this we request you to dematerialize your shares at the earliest else you will not be able to transfer your shares held in physical form.

We request you to send the aforesaid details/documents to Alankit Assignments Limited (Unit: Isgec Heavy Engineering Limited), 205-208, Anarkali Complex, Jhandewalan Extension, New Delhi-110055 or through email at [kycupdate@alankit.com](mailto:kycupdate@alankit.com) at the earliest, by any one of the following modes:

- I. Through hard copies which should be self-attested and dated.
- II. Through electronic mode, provided that they are sent through E-mail id of the holder and all documents should be electronically/digitally signed by the Shareholder.

Thanking you,

Yours faithfully,

For Isgec Heavy Engineering Limited

Sd/-  
Sachin Saluja  
Company Secretary & Compliance Officer

This letter is being sent by the Company in accordance with the provision of SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/37 dated March 16, 2023

*This is computer generated letter and does not require signature.*